

## Full-length article

**Phenotypic and molecular characterization of 5 novel CTX-M enzymes carried by *Klebsiella pneumoniae* and *Escherichia coli*<sup>1</sup>**Jun CHENG<sup>2</sup>, Ying YE<sup>2</sup>, Ying-ying WANG<sup>2</sup>, Hui LI<sup>3</sup>, Xu LI<sup>2,4</sup>, Jia-bin LI<sup>2,4</sup><sup>2</sup>Department of Infectious Diseases, the First Affiliated Hospital of Anhui Medical University, Hefei 230022, China; <sup>3</sup>Department of Infectious Diseases, the First Affiliated Hospital of Nanjing Medical University, Nanjing 210029, China.**Key words**

novel CTX-M- $\beta$ -lactamases; sequence analysis; prokaryotic expression; antimicrobial susceptibility; kinetic parameter; isoelectric focusing; plasmid profiling; pulsed-field gel electrophoresis

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**Abstract**

**Aim:** The aim of the present study was to study the phenotypic and molecular characterization of 5 novel CTX-M- $\beta$ -lactamases carried by 5 *Klebsiella pneumoniae* isolates and 3 *Escherichia coli* isolates collected from 4 hospitals in Hefei, China. **Methods:** The purified PCR products were ligated with pGEM-Teasy vectors, expressed, and sequenced. The complete genes of the CTX-M- $\beta$ -lactamases were ligated with the pHSG398 vector to express prokaryotic recombinant proteins. Plasmids were extracted by rapid alkaline lysis protocol, and the PCR method was performed to determine whether the prokaryotic expression was successful or not. Antimicrobial susceptibility was tested and the phenotypes of transformants were determined according to criteria recommended by the Clinical and Laboratory Standards Institute. The kinetic parameters of enzymes were confirmed. The isoelectric points (pI) were determined by isoelectric focusing assay. Pulsed-field gel electrophoresis and plasmid profiling were performed. **Results:** The PCR products had 1101 nucleotides and were determined as CTX-M-46, CTX-M-47, CTX-M-48, CTX-M-49, and CTX-M-50. All strains were resistant to cefotaxime, but most of them were susceptible or intermediate to ceftazidime. The phenotypes of novel enzymes were determined as extended-spectrum  $\beta$ -lactamases (ESBL). Penicillin G, cephalothin, cefuroxime, and cefotaxime were determined to good substrates, whereas ceftazidime hydrolysis was not detected. The pI of the 5 novel CTX-M- $\beta$ -lactamases were 8.0. CTX-M-derivatives could be the multiplex genesis in our area. **Conclusion:** This is the first report of these 5 novel plasmid-mediated CTX-M ESBL produced from China in the world. Molecular typing reveals notably different origin in genes encoding different CTX-M variants of 8 strains.

**Introduction**

Resistance to the expanded-spectrum cephalosporins can occur in *Escherichia coli* (*E. coli*) and *Klebsiella* species via the production of expanded-spectrum  $\beta$ -lactamases (ESBL) that are capable of hydrolyzing oxyimino-cephalosporins and monobactams<sup>[1,2]</sup>. The CTX-M- $\beta$ -lactamases are a group of molecular class A ESBL that exhibit an overall preference for hydrolysis of cefotaxime (hence the CTX-M name) and ceftriaxone and a higher susceptibility to tazobactam than to clavulanic acid. CTX-M- $\beta$ -lactamases

have been recognized and reported in the literature with increasing frequency<sup>[2,3]</sup>. This resistance mechanism is widespread throughout the world, with reports of clinical isolates producing these  $\beta$ -lactamases from Europe, Africa, Asia, South America, and most recently in North America<sup>[3,4]</sup>.

The *bla*<sub>CTX-M</sub> genes are often carried on transferable plasmids<sup>[5]</sup>. Two of them (*bla*<sub>CTX-M-2</sub> and *bla*<sub>CTX-M-9</sub>) were found to be associated with complex class 1 integrons related to In6 and In7, although they are not found on typical gene cassettes<sup>[5]</sup>. CTX-M- $\beta$ -lactamases are not closely related to

Temoniera (TEM) or Sulphydryl variable (SHV) ESBL but share high amino acid identity with chromosomal  $\beta$ -lactamases from *Kluyvera georgiana*, *Kluyvera cryocrescens*, and *Kluyvera ascorbata*<sup>[6-9]</sup>. According to a recent review and new data within GenBank, CTX-M- $\beta$ -lactamases can be divided into 5 groups based on their amino acid sequence identities<sup>[3]</sup>. Group I includes CTX-M-1, -3, -10 to -12, -15 (UOE-1), -22, -23, -28, -29, and -30. Group II includes CTX-M-2, -4 to -7, and -20 and Toho-1. Group III includes CTX-M-8. Group IV includes CTX-M-9, -13, -14, -16 to -19, -21, and -27 and Toho-2. Finally, group V includes CTX-M-25 and -26 (<http://www.lahey.org/studies/>). The members of these groups exhibit >94% amino acid identity within the group and members of different lineages differ at 10%–30% of the amino acid residues<sup>[3]</sup>.

In our previous report, 5 strains of *Klebsiella pneumoniae* (*K. pneumoniae*) and 3 strains of *E. coli* produced 5 novel CTX-M enzymes were identified in our area<sup>[10]</sup>. These novel enzymes came from CTX-M-14 with 1–3 amino acid substitution by sequencing and the BLAST program (<http://www.ncbi.nlm.nih.gov/BLAST/>). We suspected that clonal outbreak had occurred among those isolates, but did not have adequate evidence to confirm this hypothesis. Thus, in the present study, we sought to further characterize the novel CTX-M enzymes involved and determine the molecular epidemiology of these strains.

## Materials and methods

**Bacterial strains** Five *K. pneumoniae* isolates and 3 *E. coli* isolates were collected from 3 hospitals; 1 hospital was a tertiary-care teaching hospital with over 1000 beds,

and the other two were tertiary-care hospitals with more than 500 beds in Hefei, Anhui province, between September 1999 and September 2000. Eight strains were from the hospitalized patients, including 5 from sputum and 3 from urine samples (Table 1). The isolates were identified by using the Microscan Walkaway-40 System (Dade Behring, West Sacramento, California, USA). All of the strains were confirmed non-repeated and clinical significance isolates. *E. coli* C<sub>600</sub>, *E. coli* DH<sub>5 $\alpha$</sub> , and *E. coli* JM109 were stored by the Anhui Center for Surveillance of Bacterial Resistance (Hefei, China).

**Conjugation experiment** To determine whether resistance was transferable, conjugations were performed with a streptomycin-resistant recipient, *E. coli* C<sub>600</sub> (*lac*<sup>-</sup>) as the recipient. Donor strains in the logarithmic phase of growth were mixed with recipients in the early stationary phase in a 1:10 ratio in Muller-Hinton broth (Oxoid, Basingstoke, Hampshire, UK), and the mixture was incubated at 37°C for 14 h<sup>[11]</sup>. Conjugation mixtures were plated on MacConkey agar (Tianhe, Hangzhou, China) containing streptomycin (500  $\mu$ g/mL) and cefotaxime (2  $\mu$ g/mL) and then incubated for approximately 20 h at 37°C.

**PCR amplification and *bla* gene sequencing** Plasmid DNA from different *K. pneumoniae* and *E. coli* strains was used as the template in the PCR amplification. The oligonucleotides used as primers for amplification and sequencing are shown in Table 2. A search for the *bla*<sub>TEM</sub>, *bla*<sub>SHV</sub>, *bla*<sub>CTX-M-9</sub>, *bla*<sub>OXA-1</sub>, *bla*<sub>OXA-2</sub>, and *bla*<sub>OXA-10</sub> genes in the clinical isolates was performed by PCR amplification, as described previously. The detection of the *ampC* gene was performed as described by Bou and Martínez-Beltrán<sup>[12, 13]</sup>.

The entire CTX-M genes from the screening for the

**Table 1.** Clinical data of 8 isolates producing 5 novel CTX-M enzymes.

Isolates	Patient data No, sex/age	Underlying diseases	Type of infection	Specimens	Date of isolation	Hospitals <sup>a</sup>
<i>E. coli</i> 182	1, M/63	Diabetes	Urinary tract infection	Urine	Oct, 1999	1
<i>K. pneumoniae</i> 9	2, M/71	Chronic obstructive pulmonary disease	Acute infection	Sputum	Nov, 1999	1
<i>E. coli</i> 151	3, M/67	Prostate tumor	Urinary tract infection	Urine	Mar, 2000	1
<i>K. pneumoniae</i> 247	4, F/75	Gastric cancer	Suspected pneumonia	Sputum	Mar, 2000	2
<i>E. coli</i> 269	5, M/43	Leukemia	Urinary tract infection	Urine	Mar, 2000	3
<i>K. pneumoniae</i> 52	6, M/57	Cerebrovascular disease	Aspiration pneumonia	Sputum	Apr, 2000	3
<i>K. pneumoniae</i> 301	7, M/64	Leukemia	Pneumonia	Sputum	Jun, 2000	1
<i>K. pneumoniae</i> 326	8, M/81	Colon cancer	Aspiration pneumonia	Sputum	Jul, 2000	1

<sup>a</sup>1. the First Affiliated Hospital of Anhui Medical University; 2. the No 105 Hospital of the People's Liberation Army, China; 3. the First People's Hospital of Hefei.

**Table 2.** Nucleotide sequences of the oligonucleotides used for PCR amplification and DNA sequencing.

PCR target	Primer	Expected size of PCR amplicon (bp)	Annealing (°C)
<i>bla</i> <sub>TEM</sub>	5'-TTAGACGTCAGGTGGCACTT-3' 5'-GGACCGGAGTTACCAATGCT-3'	1009	56
<i>bla</i> <sub>SHV</sub>	5'-GCCCAGGGTATTCTTATTTGTCGC-3' 5'-TCTTCCGATGCCGCCAGTCA-3'	1014	63
<i>bla</i> <sub>CTX-M-9</sub>	5'- <b>TTGGATCC</b> CGGAATCCGGAAGCAGTCTAAATCTTCGTGAAATAG-3' 5'- <b>TTGAATTC</b> CGGGATCCCGGGGCCAGTTGGTGATTTGA-3'	1101	60
<i>bla</i> <sub>OXA-1</sub>	5'-TTTTCTGTTGTTTGGGTTTT-3' 5'-TTTCTTGGCTTTTATGCTTG-3'	519	56
<i>bla</i> <sub>OXA-2</sub>	5'-CGCTGTTTCGTGATGAGTTC-3' 5'-ATCGGCGTTGCCATAGTC-3'	210	54
<i>bla</i> <sub>OXA-10</sub>	5'-ATGGTGTCTTCGTGCTTT-3' 5'-TCTTACTTCGCCAACTTCT-3'	299	54
<i>bla</i> <sub>ampC</sub>	5'-ACTTACTTCAACTCGCGACG-3' 5'-TAAACACCACATATGTTCCG-3'	663	50

CTX-M-9 group gene positive strains were sequenced directly from PCR amplified DNA. Primers were used for the amplification of 1101 bp products containing the whole CTX-M-9 group open reading frame (ORF). Plasmid DNA extracted from 8 clinical isolates and their transconjugant by rapid alkaline lysis protocol was used as the template. PCR amplification was carried out under the conditions as previously described<sup>[10]</sup>. The purified PCR products were ligated with pGEM-Teasy vectors (Promega, Madison, Wisconsin, USA) and expressed in *E. coli* DH<sub>5a</sub>. All nucleotide sequences were determined by the bidirectional sequencing of PCR production with the 3730 automatic DNA sequencer (Sangon, Shanghai, China). The sequences were compared with the sequence of the CTX-M-14 gene (AF252622).

**Cloning of the CTX-M gene** To ascertain the resistant characteristic of the novel enzymes, the transform experiment was performed as described by Ishii *et al*<sup>[14]</sup>. For cloning the novel CTX-M genes, the whole ORF amplicon was linked into the vector pHSG398 (2227bp) by T<sub>4</sub> DNA ligase (TaKaRa, Dalian, China) after cleavage by *EcoRI* and *BamHI* restriction enzymes (TaKaRa, China). Then, the recombinant plasmid was introduced into *E. coli* JM109 made competent by the calcium chloride method. After transformation, a few clones grew on Luria-Bertani (L-B) agar plates supplemented with cefotaxime (2 µg/mL) and chloramycetin (50 µg/mL). They harbored an identical plasmid with an insert of approximately 1101 bp. These plasmids were used as templates to determine the nucleotide sequence in the 3730 automatic DNA sequencer (Sangon, China).

**Antimicrobial susceptibility tested** The minimal in-

hibitory concentrations (MIC) of antimicrobial agents were determined by the broth dilution method according to the guidelines of the Clinical and Laboratory Standards Institute (CLSI), 2005<sup>[15]</sup>. The MIC was defined as the lowest concentration that prevented visible growth after incubation for 16–20 h at 35 °C. The antimicrobial agents were as follows: penicillin G, piperacillin, cefuroxime, ceftriaxone, cefotaxime, ceftazidime, cefepime, imipenem, aztreonam, tazobactam, ciprofloxacin, and levofloxacin (National Institute for the Control of the Pharmaceutical and Biological Products, Beijing, China), clavulanic acid (Glaxo Smith Kline, London, UK), cephalothin (Sigma, St Louis, MO, USA). The concentration of tazobactam and clavulanic acid was tested with a fixed concentration of 4 µg/mL, respectively. All antimicrobial agents were incorporated into cation-adjusted Mueller-Hinton broth in serial 2-fold concentrations from 0.06 to 256 µg/mL. The quality control strains were *E. coli* ATCC 25922 and *E. coli* ATCC 35218 with every batch of clinical isolates to ensure the accurate and comparable performance of assays<sup>[15]</sup>. The inoculating concentration of bacteria was approximate 1.5 × 10<sup>8</sup> CFU/mL, equivalent to a 0.5 McFarland standard. The final concentration of inoculum was 5 × 10<sup>5</sup> CFU/mL. Then 1 mL of the adjusted inoculum was added to each tube containing 1 mL of antimicrobial agents in the dilution series and mixed.

The ESBL production of the transformants was detected by phenotypic confirmatory tests (cation-adjusted Mueller-Hinton broth dilution test) as recommended by the CLSI, 2005. *E. coli* ATCC 25922 and *K. pneumoniae* ATCC 700603 were

used as negative and positive controls, respectively<sup>[15]</sup>.

**$\beta$ -Lactamase preparation** CTX-M mutant-encoding genes overexpressed in *E. coli* JM109 were grown in 0.1 L of L-B broth containing cefotaxime at 2 mg/mL for 18 h at 37 °C. The bacteria collected by centrifugation were suspended with 0.1 mmol/L phosphate-buffered saline (PBS) and disrupted by ultrasonic treatment (15 times for 10 s, each time at 20 W). After centrifugation at 20000×g for 60 min at 4 °C, the CTX-M purification was carried out as previously described<sup>[16]</sup> by ion-exchange chromatography with an SP sepharose column (Amersham Pharmacia Biotech, Piscataway, New Jersey, USA).

**Determination of  $\beta$ -lactamase kinetic constants**  $\beta$ -lactamase activity was determined spectrophotometrically by measuring the change in absorbency at different wavelengths for penicillin G (231 nm), cephalothin (266 nm), cefuroxime (271 nm), cefotaxime (234 nm), and ceftazidime (258 nm) in a SP-752 type recording spectrophotometer (Spectrum Instruments, Shanghai, China). The reaction mixtures were maintained at 30 °C (pH 7.0) by means of a circulating water bath, and the reaction was started by the addition of the enzyme. For all of the assays, the controls were performed by omitting from the reaction mixture the specific substrate. Kinetic parameters were estimated from a least-squares fit of Lineweaver-Burk plots with a substrate concentration ranging from 0.01 to 0.10 mmol/L<sup>[17]</sup>.

**Isoelectric focusing and enzyme inhibition assay** Isoelectric focusing (IEF) was carried out with polyacrylamide gel containing ampholytes with a pH range of 3.0–10 (Amersham Pharmacia Biotech, USA) for the new enzymes as previously described<sup>[18]</sup>. The pellet was resuspended in 1 mL phosphate buffer (pH 7.0, 10 mmol/L), and sonicated for 10 min in a sonicator (Sonics & Material, Newtown, Connecticut, USA) in ice-cold water. After IEF,  $\beta$ -lactamases were detected by spreading nitrocefin (Oxiod, UK) on the gel surface. Isoelectric points (pI) were determined by comparison with those of  $\beta$ -lactamases with known pI: TEM-1 (pI 5.4), SHV-1 (pI 7.6), SHV-5 (pI 8.2), SHV-18 (pI 7.8), and CTX-M-5 (pI 8.8), and were calculated by using CurveExpert 1.3 software. An inhibition assay was carried out by overlaying the gels with 0.5 mmol/L nitrocefin with or without 0.3 mmol/L clavulanic acid in 0.1 mmol/L PBS (pH 7.0).

**Plasmid profiling and restriction fragment length polymorphism of plasmid DNA** A restriction analysis of the CTX-M-containing plasmids was carried out on plasmids extracted from transconjugants by a rapid alkaline lysis procedure. Transferable plasmids were purified, and purified plasmid DNA was digested with the *Pst*I restriction enzyme (TaKaRa, China). DNA restriction fragment

length polymorphisms (RFLP) were analyzed by electrophoresis on 0.8% agarose gels stained with ethidium bromide at 45 V for 16 h at 20 °C.

**Pulsed-field gel electrophoresis analysis** Chromosomal DNA was prepared as previously described and digested with the *Xba*I restriction enzyme (TaKaRa, China)<sup>[19, 20]</sup>. DNA fragments were separated by electrophoresis in 0.8% agarose gels (Sangon, China) and 0.5×Tris-Borate-EDTA (0.5×TBE) buffer by using a contour-clamped homogeneous electric field (Bio-Rad, Hercules, California, USA) according to the following electrophoresis conditions: 12 °C at 6 V/cm for 27 h with the pulse time ranging from 10 to 40 s. The DNA bands were visualized by staining of the gel with ethidium bromide and were then photographed. Clonal relationships were established, a criterion recommended by Tenover *et al*<sup>[19]</sup>.

## Results

The transferability of the CTX-M determinants was assayed in conjugation experiments using an *E. coli* recipient and selection of transconjugants at similar frequencies of  $1 \times 10^{-3}$ – $1 \times 10^{-8}$ . CTX-M genes were detected by PCR in 8 *E. coli* C<sub>600</sub> transconjugants. The results of the DNA sequencing with the whole ORF primer indicated that production of the CTX-M-9 group was almost identical to that of CTX-M-14. One to three point mutations occurred in 8 isolates, resulting in the amino acid substitutions compared with CTX-M-14 (AF252622), as shown in Table 3. Five novel CTX-M enzymes were determined as CTX-M-46 (AY847147), CTX-M-47 (AY847143), CTX-M-48 (AY847144), CTX-M-49 (AY847145), and CTX-M-50 (AY847146). The *bla*<sub>TEM</sub> genes were amplified from 8 studied isolates. All isolates were found to carry TEM-1 by nucleotide sequencing, and one was shown to harbor SHV-12 by sequence analysis. The genotypes of all transconjugants were consistent with those of their donors.

All of the wild-type strains and the transconjugants had the same resistance spectrum, which exhibited the same high resistant rate to piperacillin, ceftriaxone, and cefotaxime. All of the strains were susceptible to imipenem, but compared with the wild-type strains, the transconjugants decreased the resistant ability to all of the antimicrobial agents and obviously enhanced the susceptibility to fluoroquinolones. Five recombinant plasmids containing novel *bla*<sub>CTX-M</sub> were confirmed to clone into the competent cell (*E. coli* JM109) successfully by PCR methods. In the *E. coli* transformants, the MIC for ceftazidime were low (ranging from 1 to 4 µg/mL), which is characteristic for most CTX-M enzymes, and significant synergy with  $\beta$ -lactamases inhibition was

**Table 3.** The characteristic of 8 CTX-M-14 point mutation enzymes producing strains.

Isolates	Point mutation(s)	Amino acid substitution	$\beta$ -lactamase pIs	Other $\beta$ -lactamase gene product(s)	Harboring novel CTX-M enzyme
<i>E. coli</i> 182	136G→A	Gly46Arg	8.0, 5.4	TEM-1	CTX-M-47 (AY847143)
<i>K. pneumoniae</i> 9	92 G→A	Lys31Asn	8.0, 5.4	TEM-1	CTX-M-48 (AY847144)
<i>E. coli</i> 151	92 G→A	Lys31Asn	8.0, 5.4	TEM-1	CTX-M-48
<i>K. pneumoniae</i> 247	136G→A; 151G→C	Gly46Arg; Ala51Pro	8.0, 5.4, 8.2	TEM-1, SHV-12	CTX-M-49 (AY847145)
<i>E. coli</i> 269	136G→A	Gly46Arg	8.0, 5.4	TEM-1	CTX-M-47
<i>K. pneumoniae</i> 52	151G→C	Ala51Pro	8.0, 5.4	TEM-1	CTX-M-50 (AY847146)
<i>K. pneumoniae</i> 301	92 G→A; 102G→A; 151G→C	Lys31Asn; Ala51Pro	8.0, 5.4	TEM-1	CTX-M-46 (AY847147)
<i>K. pneumoniae</i> 326	136G→A	Gly46Arg	8.0, 5.4	TEM-1	CTX-M-47

**Table 4.** The results of antimicrobial test of wild-type isolates, transconjugants, and transformants.

Isolates <sup>a</sup>	MIC ( $\mu$ g/mL)											
	PIP <sup>b</sup>	PTZ	CRO	CTX	CTV	CAZ	CCV	FEP	ATM	IMP	CIP	LVX
<i>E. coli</i> 182	>256	16	>256	>256	32	16	2	16	32	0.12	<0.06	<0.06
<i>K. pneumoniae</i> 9	>256	64	>256	>256	32	8	1	32	128	0.25	2	1
<i>E. coli</i> 151	>256	16	>256	>256	32	16	2	32	>256	0.12	128	32
<i>K. pneumoniae</i> 247	>256	>256	>256	>256	32	128	4	64	128	0.25	256	64
<i>E. coli</i> 269	>256	4	>256	>256	32	16	1	16	32	0.12	<0.06	<0.06
<i>K. pneumoniae</i> 52	>256	64	>256	>256	32	16	1	32	>256	0.25	32	16
<i>K. pneumoniae</i> 301	>256	>256	>256	>256	32	16	2	64	128	0.25	>256	64
<i>K. pneumoniae</i> 326	>256	8	>256	>256	32	8	1	16	16	0.12	128	32
<i>C-E. coli</i> 182	>256	16	>256	128	16	4	0.5	8	4	0.12	<0.06	<0.06
<i>C-K. pneumoniae</i> 9	>256	2	>256	128	16	4	0.5	1	2	0.12	<0.06	<0.06
<i>C-E. coli</i> 151	>256	8	64	128	16	16	1	8	8	0.12	<0.06	<0.06
<i>C-K. pneumoniae</i> 247	>256	64	128	128	16	16	1	32	32	0.25	<0.06	<0.06
<i>C-E. coli</i> 269	>256	2	128	128	16	2	0.25	4	2	0.12	<0.06	<0.06
<i>C-K. pneumoniae</i> 52	>256	32	>256	128	16	2	0.25	16	8	0.12	<0.06	<0.06
<i>C-K. pneumoniae</i> 301	>256	64	>256	128	16	4	0.25	4	4	0.12	<0.06	<0.06
<i>C-K. pneumoniae</i> 326	128	4	64	128	16	2	0.25	1	0.12	0.12	<0.06	<0.06
<i>T-E. coli</i> 182	>256	4	>256	128	16	1	0.06	4	4	0.12	<0.06	<0.06
<i>T-K. pneumoniae</i> 9	>256	4	128	128	16	2	0.06	8	1	0.12	<0.06	<0.06
<i>T-K. pneumoniae</i> 247	>256	2	128	128	16	4	0.06	2	2	0.12	<0.06	<0.06
<i>T-K. pneumoniae</i> 52	>256	2	128	128	16	4	0.06	4	2	0.12	<0.06	<0.06
<i>T-K. pneumoniae</i> 301	>256	1	128	128	16	1	0.06	2	0.5	0.12	<0.06	<0.06

<sup>a</sup> The transconjugants and the transformants, was named by C- and T- plus the name of reginal wild-type isolates, respectively. <sup>b</sup> PIP, piperacillin; PTZ, piperacillin-tazobactam; CRO, ceftriaxone; CTX, cefotaxime; CTV, cefotaxime + clavulanic acid; CAZ, ceftazidime; CCV, ceftazidime + clavulanic acid; FEP, cefepime; ATM, aztreonam; IMP, imipenem; CIP, ciprofloxacin; and LVX, levofloxacin

observed with  $\beta$ -lactam antimicrobial agents (piperacillin, cefotaxime, and ceftazidime; Table 4).

Phenotypic confirmatory testing for the ESBL production of transformants requires use of both cefotaxime and ceftazidime, alone and in combination with clavulanic acid.

There was a  $\geq 3$  2-fold concentration decrease in a MIC for either antimicrobial agent tested in combination with clavulanic acid versus its MIC when tested alone. The results of the antimicrobial test showed that 5 novel CTX-M enzymes were typical for class A ESBL (Table 4).

**Table 5.** Kinetic parameters of 5 novel CTX-M- $\beta$ -lactamases.

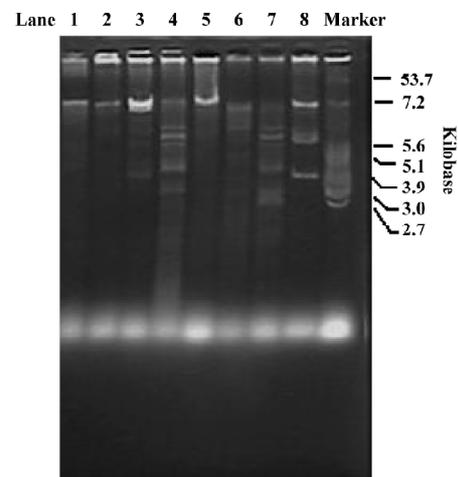
Antimicrobial agents	CTX-M-46			CTX-M-4			CTX-M-48			CTX-M-49			CTX-M-50		
	<i>K<sub>m</sub></i> ( $\mu$ mol/L)	Relative <i>V<sub>m</sub></i> (%) <sup>a</sup>	Relative <i>V<sub>m</sub>/K<sub>m</sub></i> (%)	<i>K<sub>m</sub></i> ( $\mu$ mol/L)	Relative <i>V<sub>m</sub></i> (%)	Relative <i>V<sub>m</sub>/K<sub>m</sub></i> (%)	<i>K<sub>m</sub></i> ( $\mu$ mol/L)	Relative <i>V<sub>m</sub></i> (%)	Relative <i>V<sub>m</sub>/K<sub>m</sub></i> (%)	<i>K<sub>m</sub></i> ( $\mu$ mol/L)	Relative <i>V<sub>m</sub></i> (%)	Relative <i>V<sub>m</sub>/K<sub>m</sub></i> (%)	<i>K<sub>m</sub></i> ( $\mu$ mol/L)	Relative <i>V<sub>m</sub></i> (%)	Relative <i>V<sub>m</sub>/K<sub>m</sub></i> (%)
Penicillin G	57.81	100.00	100.00	56.07	100.00	100.00	59.14	100.00	100.00	56.37	100.00	100.00	56.16	100.00	100.00
Cephalothin	156.13	385.87	142.86	150.71	389.31	145.67	156.59	369.38	139.49	160.38	363.36	127.04	153.02	387.13	142.11
Cefuroxime	66.05	72.90	62.83	65.60	72.45	61.94	66.39	71.66	63.84	66.13	72.66	61.97	66.07	72.71	61.83
Cefotaxime	72.07	74.30	59.58	72.25	74.15	57.53	72.19	73.23	59.40	72.61	74.05	57.50	71.01	73.22	57.93
Ceftazidime	ND <sup>b</sup>	NH <sup>c</sup>	NC <sup>d</sup>	ND	NH	NC	ND	NH	NC	ND	NH	NC	ND	NH	NC

<sup>a</sup> Relative values were determined by considering the penicillin G rate to be 100. <sup>b</sup> ND, not determinable. <sup>c</sup> NH, no hydrolysis determined. The rate of hydrolysis of ceftazidime was too slow to obtain reliable values. <sup>d</sup> NC, not calculated.

The kinetic parameters of 5 novel CTX-M- $\beta$ -lactamases were determined for a representative set of  $\beta$ -lactam antimicrobial agents (Table 5). The results showed that the  $\beta$ -lactamase exhibited a broad-spectrum activity profile, although with notable differences for different substrates. Common enzymatic features included better affinities for penicillin G than for cephalothin, cefuroxime, cefotaxime, and ceftazidime. Cephalothin was the best substrate; and the rate of hydrolysis of ceftazidime was too slow to obtain an accurate *K<sub>m</sub>* value.

On the IEF gels, all  $\beta$ -lactamases produced by the 8 classical ESBL-producing isolates were inhibited by 0.3 mmol/L clavulanic acid, so the CTX-M-containing isolates that had pI 8.0 enzymes were represented CTX-M-14-derivative enzymes. Most isolates expressing CTX-M enzyme were found to produce additional  $\beta$ -lactamases (Table 3). The enzymes with a pI of 5.4 detected in the 8 isolates were not inhibited by clavulanic acid and thus were tentatively classified as broad-spectrum  $\beta$ -lactamases. A further enzyme with a pI of 8.2 was inhibited by clavulanic acid. Thus, it was considered to carry classical ESBL. The genotypes of all transconjugants were consistent with those of their donors. The pI of all transconjugants were consistent with 8 wild-type strains, and the pI of 8.0 were observed in transformants.

Purified plasmids from 8 transconjugants of strains were digested with *Pst*I, and the resulting fragments were separated on 0.8% agarose gels (Figure 1). The overall results showed a similarity between plasmids in *E. coli* 269 and *E. coli* 182, thus suggesting identity in the plasmids harboring CTX-M-47 on these strains. However, the other 6 transconjugants did not show similarity in their RFLP patterns. Therefore, the CTX-M-46, CTX-M-48, CTX-M-49, and CTX-M-50 genes were harbored in different plasmids on these strains, and so the possibility that a mobile genetic

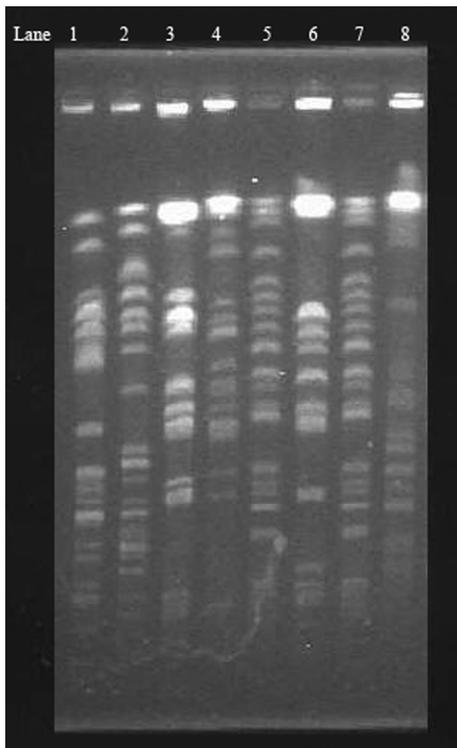


**Figure 1.** Agarose (0.8%) electrophoresis of *Pst*I-digested plasmid DNA from 8 novel CTX-M-producing isolates. Marker: plasmid from *E. coli* V517; lane 1: *K. pneumoniae* 326; lane 2: *K. pneumoniae* 247; lane 3: *K. pneumoniae* 301; lane 4: *E. coli* 269; lane 5: *E. coli* 151; lane 6: *K. pneumoniae* 52; lane 7: *E. coli* 182; and lane 8: *K. pneumoniae* 9.

element might be involved in the dissemination of the CTX-M-14-derivation gene in these plasmids cannot be ruled out.

Pulsed-field gel electrophoresis analysis (PFGE) was performed to determine whether clonal spreading was responsible for the dissemination of CTX-M-14 derivatives. Based on the criteria previously described by Tenover *et al*<sup>[15]</sup>, that is, differences of no more than 3 bands belonged to the epidemiologically-related strains, and differences of more than 6 bands belonged to the epidemiologically-unrelated strains. The 8 CTX-M-14-derivative strains isolated from 3 hospitals belonged to different clones, except for *E. coli* 269 and *E. coli* 182, which exhibited closely-related isolates (Figure 2).

The sources from which the 8 isolates producing 5 novel



**Figure 2.** PFGE pattern of *Xba*I-digested genomic DNA from 8 novel CTX-M-producing isolates: lane 1: *K. pneumoniae* 326; lane 2: *K. pneumoniae* 247; lane 3: *K. pneumoniae* 301; lane 4: *E. coli* 151; lane 5: *E. coli* 269; lane 6: *K. pneumoniae* 52; lane 7: *E. coli* 182; and lane 8: *K. pneumoniae* 9.

CTX-M enzymes were recovered, as well as the selected clinical features of the patients carrying these organisms, are summarized in Table 1. Positive cultures of CTX-M enzyme producers were obtained from 8 patients after 72 h of hospitalization. CTX-M-48 producers were isolated from 2 patients in the Intensive Care Unit (ICU). Patient 3 had accepted urethral catheterization. Patients 1, 5, and 8 had been hospitalized for 3 to 6 months before clinical presentations of their infections. Patient 4 had little history of hospitalization before she underwent gastrectomy in hospital. Since it was not known that they were infected with ESBL producers, patient 6 was treated with piperacillin, cefotaxime, and ceftriaxone, and patient 7 was treated with cefuroxime, cefotaxime, ciprofloxacin, and levofloxacin.

## Discussion

In recent reports, ESBL phenotypes were detected in 45% of *K. pneumoniae* strains from Latin America, 23% from the Western Pacific, 23% from Europe, 8% from the United States, and 5% from Canada<sup>[21]</sup>. CTX-M enzymes were initially isolated from strains in Europe and Argentina in the late 1980s

and early 1990s<sup>[22]</sup>. Since then, the plasmid-encoded CTX-M enzyme, which is one of the most prevalent types in Asian countries, has now been encountered on 5 continents owing to the ease of global travel; *bla*<sub>CTX-M</sub> may easily be spread worldwide as a means to adapt to environmental hazards for bacterial growth and has a high survival capacity in the environment. The inherent ability of *K. pneumoniae* and *E. coli* may facilitate the development of resistance profiles with the widespread use of antimicrobial agents through the selection of strains with ever-accumulating antimicrobial resistance profiles in hospital environments. Numerous reports from eastern Europe, Asia, and South America have identified CTX-M-type ESBL.

The wild-type isolates, transconjugants, and transformants of our data exhibited a moderate or high resistance to cefotaxime and ceftriaxone; however, most of them are susceptible to ceftazidime and aztreonam, except for *E. coli* 151, *K. pneumoniae* 247, and *K. pneumoniae* 301. We consider that the reason is other ESBL involved in the resistant patterns. *K. pneumoniae* 247, producing the SHV-12 enzyme, obviously accounts for the resistance ability to ceftazidime. *E. coli* 151 and *K. pneumoniae* 301, with broad-spectrum  $\beta$ -lactamase (TEM-1), were simultaneously detected. This could not be easily explained and questions still remain. Therefore, we supposed that multicopies of plasmids or/and the hyperproduction of TEM-1 in the wild-type strain may account for the resistant property, which is resistant to ceftazidime, and found that aztreonam declined in its transconjugants<sup>[23]</sup>. In addition, the wild-type isolates and the transconjugants displayed resistance to the 2 fluoroquinolones (ciprofloxacin and levofloxacin). We can clearly see the difference between the 2 groups of strains: most of the wild-type strains were resistant to ciprofloxacin and levofloxacin. However, all of the transconjugants were susceptible to ciprofloxacin and levofloxacin. Therefore, we infer that the resistance to ciprofloxacin and levofloxacin in our strains is not plasmid-borne, but chromosomally mediated.

Like CTX-M-9, CTX-M-14, has been described in China, Korea, France, Japan, and Spain since 2002<sup>[24]</sup>. Enzymes of the CTX-M-9 group were defined as a pI ranged from 7.9 to 8.2, and had a higher catalytic activity against cefotaxime than against ceftazidime and aztreonam. To our knowledge, amino acid residues Asn104, Asn132, Phe160, Gly232, Ser237, and Arg276 are thought to play an important role in the catalytic properties in the CTX-M  $\beta$ -lactamases<sup>[16, 25]</sup>. The substitution of Ser237, which is known to enhance hydrolysis of cefotaxime, is observed in CTX-M-14<sup>[16, 26]</sup>. The amino acid substitutions at positions 164, 179, 238, and 240<sup>[27]</sup>,

which are associated with the expansion of the spectrum of activity towards oxyimino-cephalosporins and aztreonam in TEM- and SHV-type ESBL<sup>[16,28]</sup>, were not observed in CTX-M-46 to CTX-M-50, for which the MIC of aztreonam and ceftazidime were low. In our report, the 3 substitution sites (Lys31Asn, Gly46Arg, and Ala51Pro) do not belong to the active sites or are not adjacent to the indirect active sites, such as the  $\Omega$  loop, which plays an important role in influencing substrates, so we supposed that the substitutions would not result in the resistance pattern changing, or a neutral mutation. From our data, we also concluded that cefotaxime was the specific substrate to the 5 CTX-M-14 derivatives as their parent enzymes.

In this study, the PFGE of *Xba*I-digested genomic DNA and the electrophoresis of the *Pst*I-digested *bla*<sub>CTX-M</sub>-containing plasmid showed that 8 isolates and the resistant plasmids had diverse patterns despite 1-3 amino acid substitutions occurring intensively from the same parent enzyme from the same hospital. The closely-related restriction patterns only were found between strains of *E. coli* 269 and *E. coli* 182, which were obtained from the First Affiliated Hospital of Anhui Medical University and the First People's hospital of Hefei, respectively. As both are teaching hospitals, the patients' interchange between the 2 hospitals was frequent. We excluded 2 isolates obtained from the same patient (their addresses were indefinite). Therefore, we conclude that CTX-M-14-derivatives could be the multiplex genesis or 2 patients could come from the same area, but the definite mechanisms that 8 CTX-M-14 derivatives occurred remain unknown.

Spreading between patients can be easy when a bacterium with an antimicrobial resistance mechanism has been established, especially if this mechanism is associated with plasmids or other mobile genetic elements. We should alert the medical community of the increase of these  $\beta$ -lactamases in our area (especially isolates collected from patients with underlying diseases in the hospital environment, such as ICU). These hospitals are large-scale general hospitals, and the interchange of patients who come from other areas between them is frequent. Therefore, it is necessary to strengthen the surveillance of antimicrobial resistance in local areas and exchange data between different areas. There is the extremely important epidemiology significance in this work to prevent dissemination of resistant genes.

Five novel CTX-M enzymes are clinical variants found in Anhui Province. The continuing evolution of genes encoding ESBL which are caused by the misuse of antimicrobial agents (especially the empirical administration of cefotaxime in serious infections) are reflected in the increasingly large

number of derivative of  $\beta$ -lactamases, and their widespread dissemination on resistant plasmids significantly limits therapeutic choices. The rational use of other antimicrobial agents may improve the situation. In addition, this research emphasizes that improving the detection of ESBL with molecular procedures and the implementation of appropriate infection control procedures will provide a more accurate assessment of their prevalence and lead to more rational uses of antimicrobial agents in order to prevent clinical complications<sup>[29]</sup>, which in turn will reduce the selection and spread of organisms producing these enzymes. We also should increase efforts in surveillance and the study of risk factors (ie hospitalized time and invasive operation) associated with the acquisition of these isolates. This will guide future prevention and control measures, which will continue to present challenges for clinical microbiologists and clinicians alike<sup>[30]</sup>.

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